

Harvard-ProQuest ETD Admin

LICENCE and COPYRIGHT CONSIDERATIONS

ProQuest publishing options

Choosing to make your dissertation available on ProQuest (PQ) Theses & Dissertations subscription database

This is the PQ-required option students see in PQ ETD Admin tool:

<input type="checkbox"/> IR publishing options	<input type="radio"/> Traditional Publishing View agreement
<input type="checkbox"/> University agreement	<ul style="list-style-type: none">I want to make my work widely available and I want to be eligible to receive royalties on the sale of my work.I understand that I must maintain a current mailing address with ProQuest in order to be eligible to receive royalties.I understand that ProQuest does not charge a fee for Traditional Publishing.I understand that my work will be available through ProQuest Dissertations & Theses, iParadigms and Dissertation Express, and SciFinder, MathSciNet, PsycINFO, etc.).
<input type="checkbox"/> Contact information	<input type="radio"/> Open Access Publishing PLUS View agreement
About my dissertation/thesis:	<ul style="list-style-type: none">I want the broadest possible dissemination of my work, and I want to provide free global access to the electronic copy of my workI understand that I will not be eligible to receive royalties.I understand that the ProQuest fee for Open Access Publishing PLUS is \$95.00 USD.I understand that I will pay the fee, unless notified by my graduate institution that the school will pay.I understand that my work will be available through ProQuest Dissertations & Theses, iParadigms and Dissertation Express, and SciFinder, MathSciNet, PsycINFO, etc.).
<input type="checkbox"/> Dissertation/Thesis details	
<input type="checkbox"/> PDF	
<input type="checkbox"/> Supplemental files (optional)	
<input type="checkbox"/> Notes (optional)	
Submission & payment:	Access options (e.g., delaying the release of your work)
<input type="checkbox"/> Register U.S. Copyright	If you choose to delay the release of your work, access to the full text of your work will be delayed for the period of time that you specify. The work will be available through ProQuest and may be available through your institutional repository.
<input type="checkbox"/> Order copies	Note: Most institutions have delayed release (or embargo) policies. Please consult with your Graduate School for more information.
<input type="checkbox"/> Submit	Delaying release in ProQuest
	I want my work to be available in ProQuest as soon as it is published. *
	<input type="radio"/> Yes
	<input type="radio"/> No - I have patents pending, or another reason why I need to delay access to the full text of my work.

Under Traditional Publishing, PQ will distribute a dissertation, with embargo or without, to paid subscribers of PQ Dissertations & Theses or to those readers who pay a one-time access fee. PQ pays limited royalties to the author under this model, but gets the student's rights, via a non-exclusive license, to share the submission beyond the PQ Dissertation & Theses database, in iParadigms and other PQ partner databases (iParadigms is a plagiarism software detection system sold to major universities), for which no student royalties are garnered. By attaching author royalties to the traditional publishing model, PQ disincentives the benefits of distributing a work open access.

Second, under Open Access (OA) Publishing PLUS, PQ will make a dissertation open access on PQ Dissertations & Theses for a fee. Under this model, PQ does not pay royalties to the author, charges the student \$95, and shares dissertation in iParadigms and other PQ partner databases. Harvard's institutional repository (DASH) receives a copy of the student's

dissertation and, under a non-exclusive license, will distribute it open access for no cost, with or without an embargo.

Students should be advised to choose traditional publishing in the PQ ETD Admin, with or without embargo options, and follow up with PQ about further embargo and access options in PQ Theses and Dissertations database. DASH will make a dissertation open access, with or without an embargo; students make DASH-related choices on the IR Publishing Options screen, which falls after the PQ Publishing Options screen.

If students have questions about PQ/DASH licensing or open access options, have them contact the Harvard Library Office for Scholarly Communication (osc@harvard.edu).

Copyright registration

The option of having ProQuest register an author's copyright on their behalf

This is the PQ-mandated option students see in PQ ETD Admin tool:

Submission steps	Register U.S. Copyright
Publishing information:	Congratulations on the completion of a momentous milestone in your career!
<input checked="" type="checkbox"/> Instructions	Protect Your Research
<input type="checkbox"/> PQ publishing options	Take the next step towards protecting your intellectual property by registering your copyright with the U.S. Copyright Office. Because of the availability of content on the open web via repositories and other avenues, registering your U.S. copyright can be a significant benefit for the protection of your work. ProQuest can file all your paperwork with the U.S. Copyright Office immediately upon your dissertation approval and delivery.
<input type="checkbox"/> ProQuest agreement	No-effort Filing
<input type="checkbox"/> IR publishing options	Filing through ProQuest requires no additional work from you and once registration is granted, it ensures that your rights are secured to the fullest extent allowable under U.S. law. ProQuest's experienced professionals process tens of thousands of applications with the government a year, helping to streamline the process and allowing authors to focus on launching and accelerating their careers.
<input type="checkbox"/> University agreement	Copyright Protection Benefits
<input type="checkbox"/> Contact information	Registering with the U.S. Copyright Office establishes your claim to the copyright for your dissertation/thesis and provides certain protections if your copyright is violated. Once registered, your dissertation or thesis becomes eligible for statutory damages and recovery of attorney fees. Registration of a work is required prior to being able to sue for copyright infringement, and you may claim statutory damages and attorney's fees only for infringements occurring after your work is registered. Statutory damages are set out in Title 17, Section 504 of the U.S. Code , and range from \$750-\$150,000 USD per infringement. This contrasts with infringements occurring prior to copyright registration which are only eligible to recover actual damages with no recovery of attorneys fees.
About my dissertation/thesis:	More Benefits of Registration
<input type="checkbox"/> Dissertation/Thesis details	<ul style="list-style-type: none">Registration establishes a public record of the author's copyright in a workThe registration certificate serves as <i>prima facie</i> evidence of validity in court (if you register within five years after publication).Registration made prior to commencement of the infringement, or within three months of the first publication, entitles the owner to statutory damages and attorneys' fees.
<input type="checkbox"/> PDF	
<input type="checkbox"/> Supplemental files (optional)	
<input type="checkbox"/> Notes (optional)	
Submission & payment:	If you wish, ProQuest Dissertation Publishing will act on your behalf as your agent with the U.S. Copyright Office and apply for copyright registration as part of the publishing process.
<input checked="" type="checkbox"/> Register U.S. Copyright	ProQuest will:
<input type="checkbox"/> Order copies	<ul style="list-style-type: none">Prepare an application in your nameSubmit your application feeDeposit the required copy or copies of the manuscriptTriage any issues that arise with filingMail you the completed certificate of registration from the Library of Congress
<input type="checkbox"/> Submit	

1. Previous U.S. Copyright Registration
Has registration for your published dissertation/thesis, or for an earlier version of the manuscript, been made with the Copyright Office?
<input type="radio"/> Yes - copyright was previously filed <input type="radio"/> No
2. Requesting ProQuest to file for U.S. Copyright Registration
<input type="radio"/> No, do not file for copyright—I am requesting that ProQuest not file for copyright on my behalf.
<input type="radio"/> Yes, file for a new copyright—I am requesting that ProQuest file for copyright on my behalf.
<input type="checkbox"/> Required: Select the checkbox to indicate you have read, understood, and agree to the following:
<ul style="list-style-type: none">I will pay a fee of \$75.00 (U.S. dollars) to ProQuest for filing on my behalf with the U.S. Copyright Office.I am the sole author of the dissertation or thesis I submitted to ProQuest.I do not acknowledge any co-authors or co-claimants of my work.
See manuscript examples rejected and deemed not by a sole author.
Save & Continue

For a \$75 fee, PQ will register a student's copyright during submission.

Copyright applies automatically whenever a work is original and is fixed in tangible form. Under the law, the owner of the copyright is the creator and no formal registration is required.

Registering one's copyright is used to enforce rights in the court of law – registering to attain these rights is a common copyright myth.

Students hold copyright over their dissertation, both in PQ Dissertation & Theses database and in DASH. Students should be advised to decline copyright registration by PQ. If students have questions about copyright or specific concerns about their ability to enforce their rights, have them contact the Harvard Library Office for Scholarly Communication (osc@harvard.edu).

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